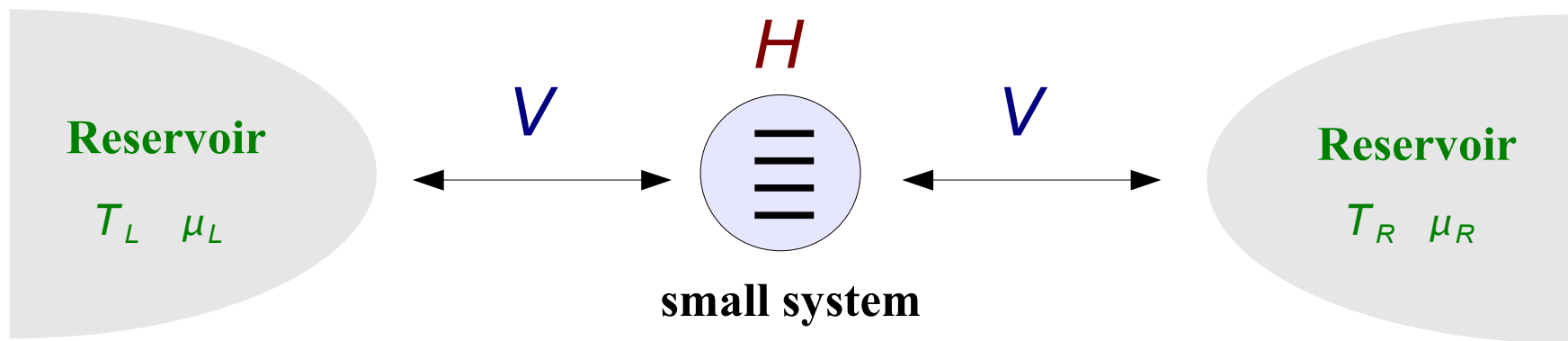


Real-Time RG: Nonequilibrium properties of open quantum systems

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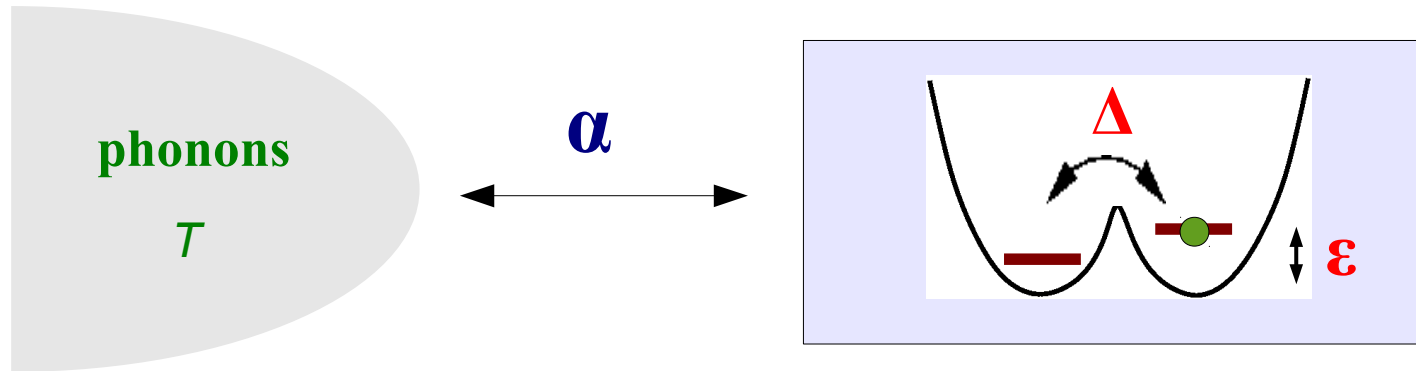


- $H_{tot} = H + H_{res} + V$
- expand in system-bath interaction V
- calculate reduced density matrix of the system
- calculate observables (e.g. current)

$$\rho(t) = \text{Tr}_{res} \rho_{tot}(t)$$

$$\langle I_\alpha \rangle(t) = \text{Tr}_{tot} I_\alpha \rho_{tot}(t)$$

Ohmic spin boson model: Energy fluctuations



$$H_{res} = \sum_k \omega_k a_k^\dagger a_k$$

$$H = \epsilon/2 \sigma_z - \Delta/2 \sigma_x$$

$$V = \frac{1}{2} \sigma_z \sum_k \alpha_k (a_k + a_k^\dagger)$$

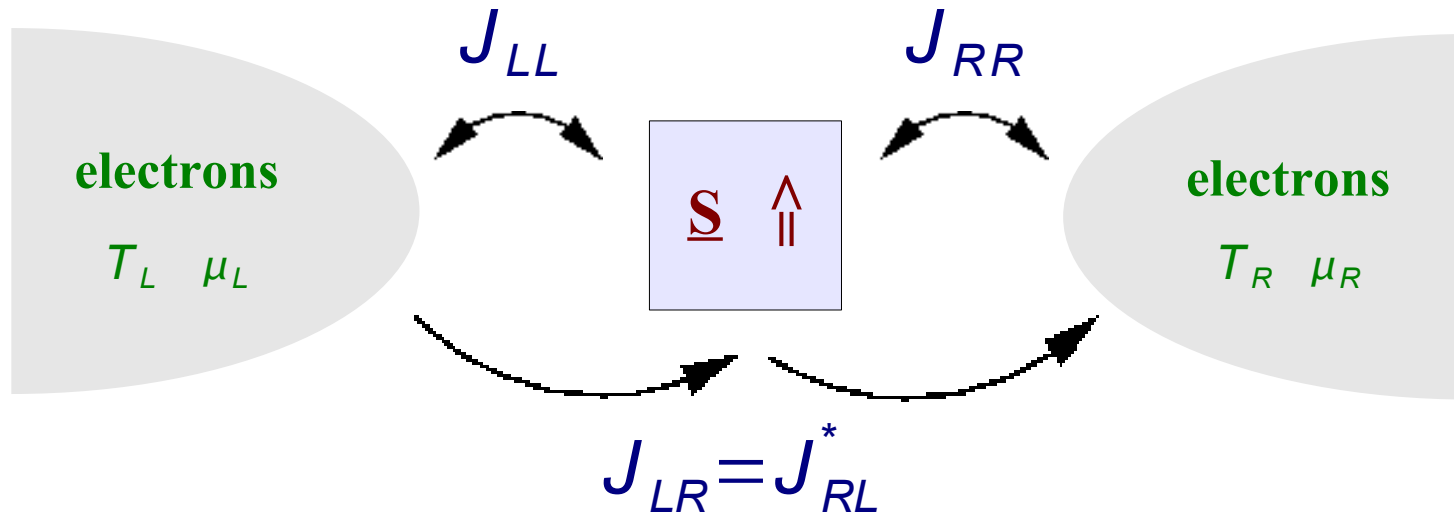
$$\rho(\omega) = \sum_k \alpha_k^2 \delta(\omega - \omega_k) = 2\alpha\omega\theta(\omega)$$

ohmic
case

expand in

$$\alpha \ll 1$$

Nonequilibrium Kondo model: Spin fluctuations



$$H_{res}^L = \sum_k \epsilon_{L\sigma k} a_{L\sigma k}^\dagger a_{L\sigma k} \quad H = h S_z \quad H_{res}^R = \sum_k \epsilon_{R\sigma k} a_{R\sigma k}^\dagger a_{R\sigma k}$$

$$V = \sum_{\alpha\alpha'} \frac{J_{\alpha\alpha'}}{\rho_0} \underline{S} \sum_{kk'} \sum_{\sigma\sigma'} \frac{1}{2} a_{\alpha\sigma k}^\dagger \underline{\sigma}_{\sigma\sigma'} a_{\alpha'\sigma'k'} = \sum_{\alpha\alpha'} \frac{J_{\alpha\alpha'}}{\rho_0} \underline{S} \underline{s}_{res}^{\alpha\alpha'}$$

expand in

$$|J_{\alpha\alpha'}| \ll 1$$

Formalism: Basic ideas

von Neumann equation: $i \frac{d}{dt} \rho_{tot}(t) = [H_{tot}, \rho_{tot}(t)] = L_{tot} \rho_{tot}(t)$

$L_{tot} = [H_{tot}, \cdot] \rightarrow$ Liouville superoperator, acts in Liouville space = space of all operators

$\longrightarrow \rho_{tot}(t) = e^{-iL_{tot}t} \rho_{tot}(0)$

Initial condition: $\rho_{tot}(t=0) = \rho_{t=0} \prod_{\alpha} \rho_{\alpha}^{(eq)}$ $\rho_{\alpha}^{(eq)} = e^{-\beta_{\alpha}(H_{res}^{\alpha} - \mu_{\alpha} N_{res}^{\alpha})} / Z_{\alpha}$

effective von Neumann equation: $\rho(t) = Tr_{res} \rho_{tot}(t)$ integrate out reservoirs

$$L_{tot} = L^{(0)} + L_{res} + L_V \qquad L_{res} = [H_{res}, \cdot]$$

$$i \frac{d}{dt} \rho(t) = Tr_{res} L_{tot} \rho_{tot}(t) = Tr_{res} (L^{(0)} + L_{res} + L_V) \rho_{tot}(t)$$

$$= L^{(0)} \rho(t) + Tr_{res} L_V e^{-i(L^{(0)} + L_{res} + L_V)t} \rho_{t=0} \prod_{\alpha} \rho_{\alpha}^{(eq)}$$


 expand + Wick theorem + resummation

Kinetic equation ; Quantum Boltzmann equation:

$$i \frac{d}{dt} \rho(t) = L^{(0)} \rho(t) + \int_0^t dt' \Sigma(t-t') \rho(t')$$

↓
coherence

↓
dissipation , memory term

Effective Liouvillian:

$$L(t-t') = L^{(0)} \delta(t-t'-0^+) + \Sigma(t-t')$$

$$i \frac{d}{dt} \rho(t) = \int_0^t dt' L(t-t') \rho(t')$$

$L(t-t')$ → response function

→ defined for $t > t'$

Formal solution in Fourier space:

$$\rho(E) = \int_0^{\infty} dt e^{iEt} \rho(t) \quad L(E) = \int_0^{\infty} dt e^{iEt} L(t) \quad \begin{array}{l} \text{analytic functions in the} \\ \text{upper half of the complex plane} \end{array}$$

exponentially
decaying for $\text{Im } E > 0$

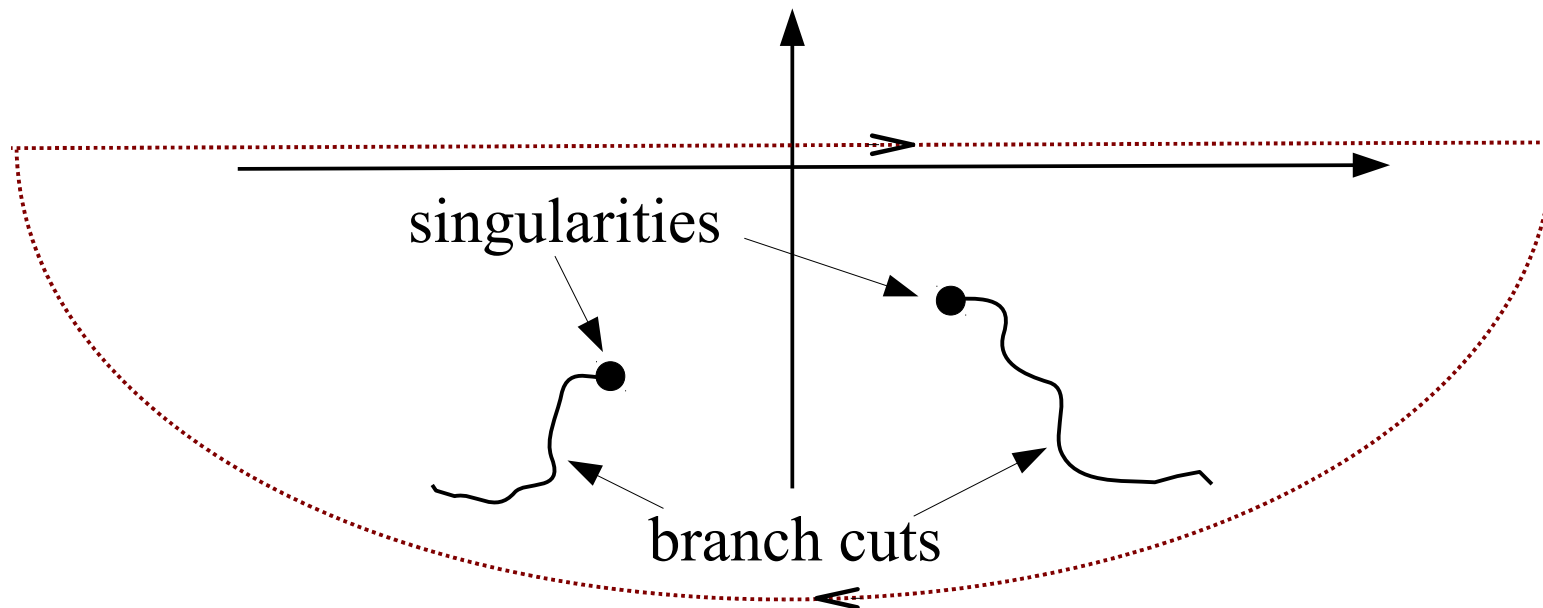
$$i \frac{d}{dt} \rho(t) = \int_0^t dt' L(t-t') \rho(t') \quad \Rightarrow \quad E \rho(E) - i \rho_{t=0} = L(E) \rho(E)$$

$$\Rightarrow \quad \rho(E) = \frac{i}{E - L(E)} \rho_{t=0}$$

$$\rho(t) = \frac{i}{2\pi} \int_{-\infty+i0^+}^{\infty+i0^+} dE \frac{e^{-iEt}}{E - L(E)} \rho_{t=0}$$

close in lower half: $t > 0$

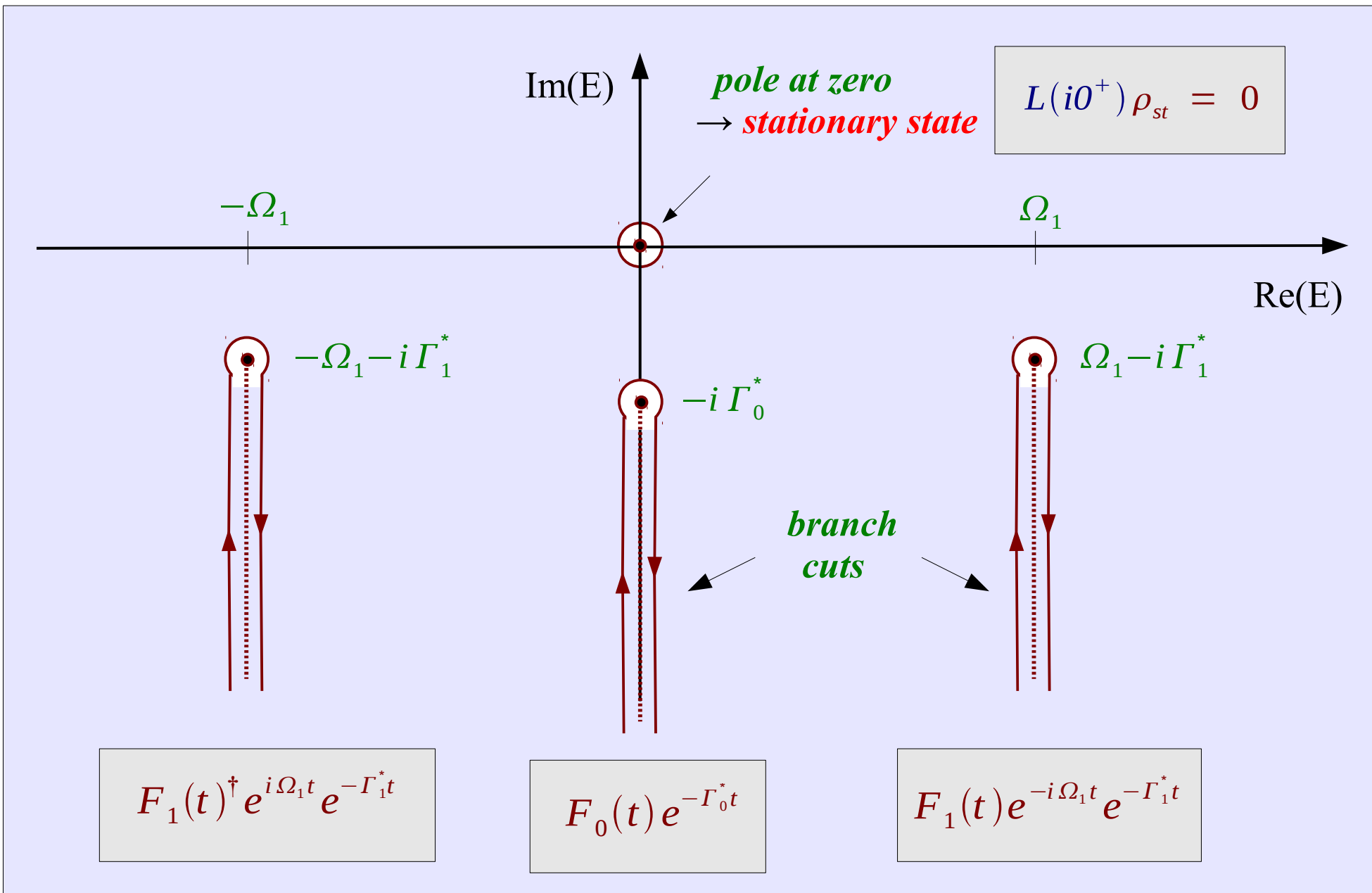
Close integration contour in lower half:



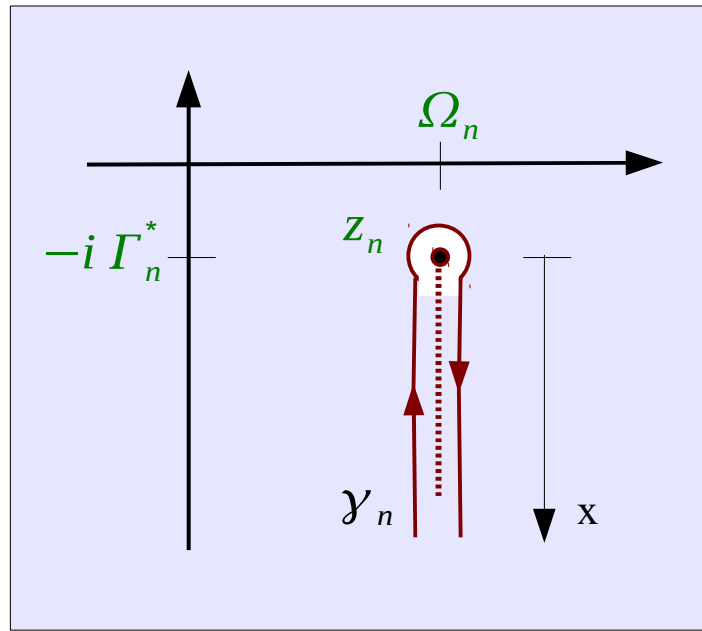
Analytic continuation:

($D \rightarrow \infty$, $T=0$)

$$\rho(t) = \frac{i}{2\pi} \int_{-\infty+i0^+}^{\infty+i0^+} dE \frac{e^{-iEt}}{E - L(E)} \rho_{t=0}$$



Single contribution:



$$z_n = \Omega_n - i\Gamma_n^*$$

$$E = z_n - ix \pm 0^+$$

$$0 < x < \infty$$

$$dE = -i dx$$

$$\frac{i}{2\pi} \int_{\gamma_n} dE \frac{e^{-iEt}}{E - L(E)} \rho_{t=0} =$$

$$= e^{-i\Omega_n t} e^{-\Gamma_n^* t} \underbrace{\frac{1}{2\pi} \int_0^\infty dx e^{-xt} \left\{ \frac{1}{z_n - ix - L(z_n - ix + 0^+)} - \frac{1}{z_n - ix - L(z_n - ix - 0^+)} \right\}}_{F_n(t)} \rho_{t=0}$$

exponentially decaying \Rightarrow analytical progress possible in the long-time limit

$$\rho(t) = \rho_{st} + \sum_n F_n(t) e^{-i\Omega_n t} e^{-\Gamma_n^* t} \rho_{t=0}$$

General consideration about the E -dependence of $\rho(E)$ and $L(E)$

$\rho(E)$ vs. $L(E)$: $\rho(E) = \frac{i}{E - L(E)} \rho_{t=0}$

→ perturbative expansion of $L(E)$ avoids secular terms $\sim \left(\frac{1}{E - L^{(0)}}\right)^k \sim t^k$

Secular terms in $L(E)$: $L(E)$ itself contains terms $\sim \left(\frac{1}{E - z_n}\right)^k$

→ can be avoided by self-consistent perturbation theory for $L(E)$

→ all singularities of $\rho(E)$ lie in lower half of complex plane

Logarithmic terms in $L(E)$:

$$L(E) = L_{\Delta}(E) + E L'(E)$$

$\sim \Delta \neq E$ physical scale dimensionless

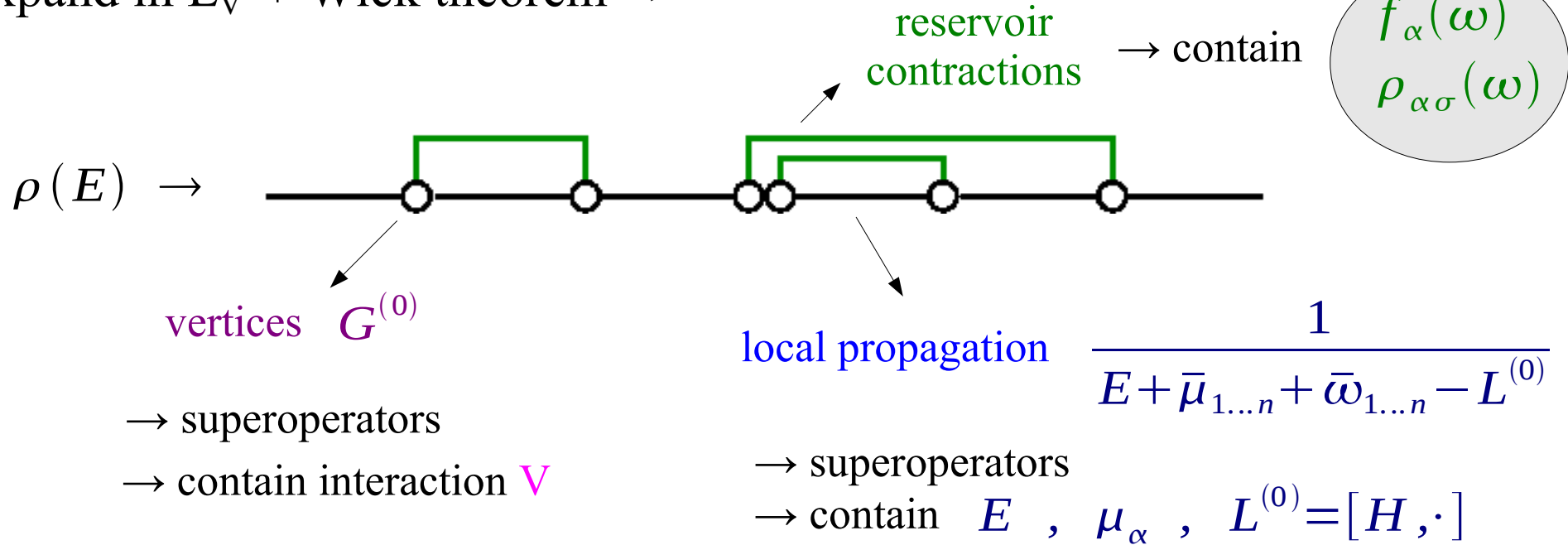
$L_{\Delta}(E), L'(E)$ → slowly varying logarithmic functions

→ high energies: $\left(\alpha \ln \frac{D}{E - z_n}\right)^k$ low energies: $\left(\alpha \ln \frac{E - z_n}{E - z_m}\right)^k$

→ treat by renormalization group (RG)

Quantum field theory in Liouville space

expand in L_V + Wick theorem \Rightarrow



$\Sigma(E) =$
 $+ \dots$

$=$ sum over connected diagrams \equiv "self-energy"

$L(E) = L^{(0)} + \Sigma(E)$

use perturbative expansion for $L(E)$ and **not** for

$$\rho(E) = \frac{i}{E - L(E)} \rho_{t=0}$$

E-flow scheme of real-time renormalization group (E-RTRG)

$L(E) \rightarrow$ contains divergencies $\left(\frac{1}{E-z_n}\right)^k$, $\left(\ln \frac{D}{E-z_n}\right)^k$, $\left(\ln \frac{E-z_n}{E-z_m}\right)^k$

$$\frac{\partial^2}{\partial E^2} L(E) = \text{diagram 1} + \text{diagram 2} + \dots$$

$$\frac{\partial}{\partial E} G_{12}(E) = \text{diagram 3} + \dots$$

full propagators
effective vertices

(1) full propagators \rightarrow resum self-energy insertions

(2) consider derivatives \rightarrow renormalization group (RG)

(3) effective vertices \rightarrow resum vertex corrections

(1) + (2) + (3) \Rightarrow well-defined power series in $G_{12}(E)$ for all energies:

$$\frac{1}{E-z_n} \cdot (c_1 G^2 + c_2 G^3 + c_3 G^4 + \dots)$$

\rightarrow controlled truncation possible if $G(E) \ll 1$

RG equations :

$$L(E) = L_{\Delta}(E) + E L'(E)$$

$$\begin{aligned}\frac{\partial L_{\Delta}(E)}{\partial E} &= \sum_n \frac{1}{E-z_n} \Psi_{L_{\Delta}}^n\{L_{\Delta}, L', G\} \\ \frac{\partial L'(E)}{\partial E} &= \sum_n \frac{1}{E-z_n} \Psi_{L'}^n\{L_{\Delta}, L', G\} \\ \frac{\partial G(E)}{\partial E} &= \sum_n \frac{1}{E-z_n} \Psi_G^n\{L_{\Delta}, L', G\}\end{aligned}$$

$\Psi_{L_{\Delta}}^n, \Psi_{L'}^n, \Psi_G^n \rightarrow$ functionals which do not contain any logarithmic divergencies at **low and high** energies

\rightarrow expand in $G(E) \ll 1$ (**weak coupling**) and truncate at certain order

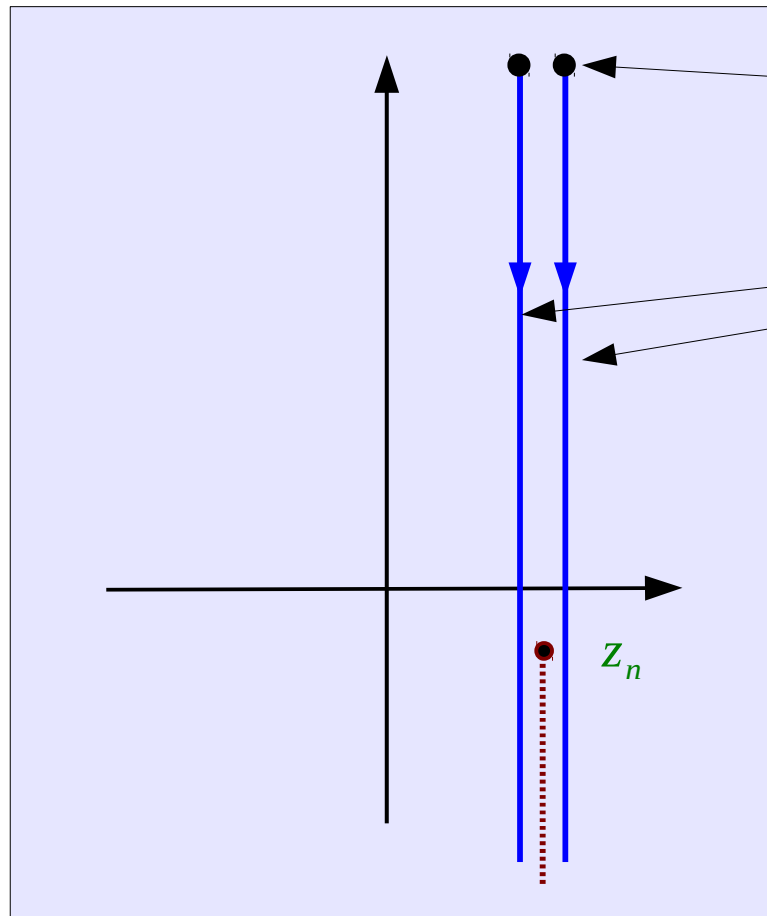
\rightarrow all logarithmic powers are resummed up to a certain order :

$\alpha^k \ln^k \rightarrow$ leading order (1-loop)

$\alpha^k \ln^{k-1} \rightarrow$ sub-leading order (2-loop)

$\alpha^k \ln^{k-2} \rightarrow$ sub-sub-leading order (3-loop) etc.

Solve RG equations along certain path in complex plane :

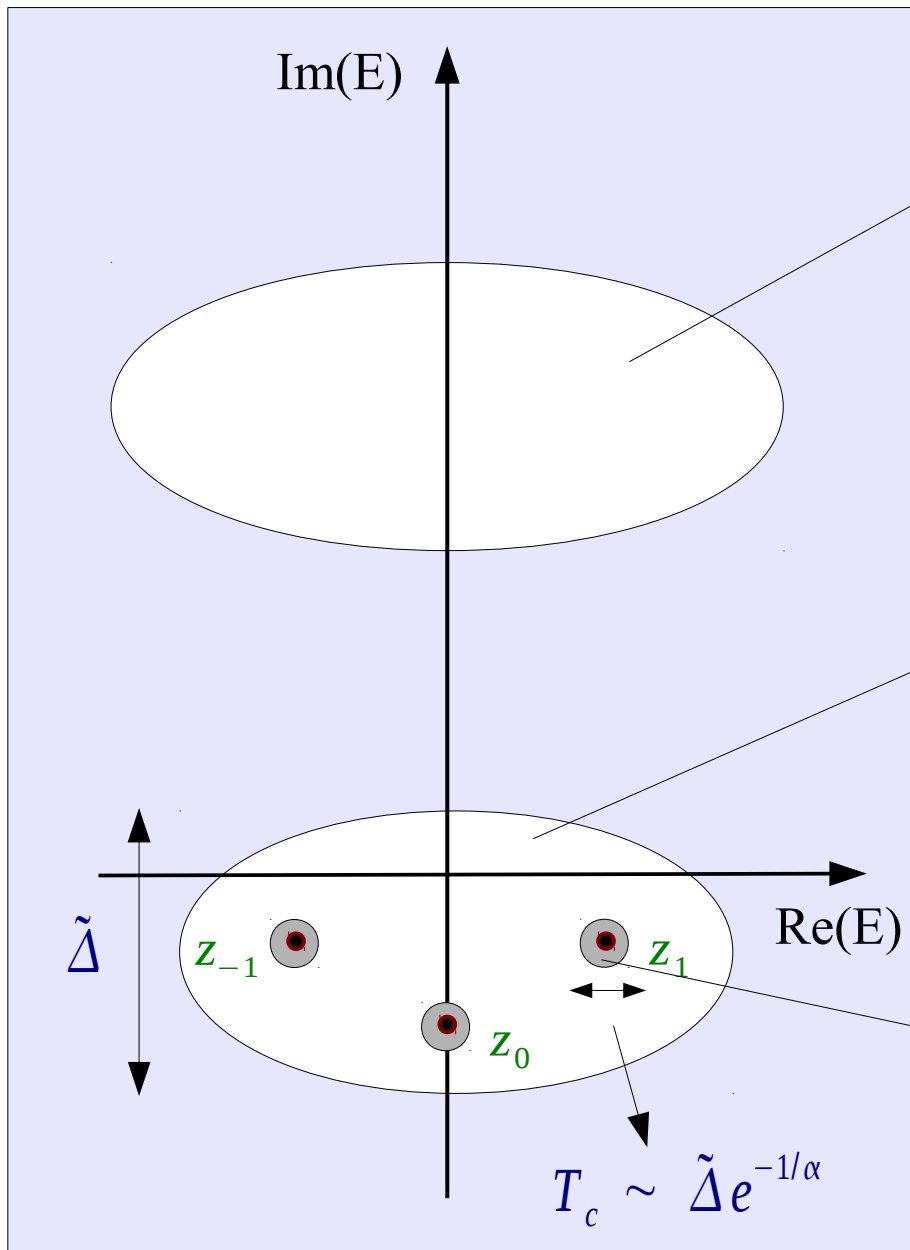


start at high energies
(perturbative initial condition)

solve RG equation along these paths

- allows for an analytic continuation into the lower half of the complex plane
- allows for the determination of the position of the branching points / poles
- allows for a convenient choice of the shape of the branch cuts
- allows for analytical solutions in weak coupling $G \ll 1$

Analytical solution in different energy regimes



High energies :

$$|E| \sim 1/t \gg |z_n| \quad \text{neglect all } z_n$$

$$L_\Delta(E), L'(E) \approx L_\Delta\left(\frac{1}{t}\right), L'\left(\frac{1}{t}\right)$$

Small/intermediate energies :

$$\tilde{\Delta} \sim |z_n| \geq |E| \sim 1/t \gg T_c$$

expand in $\alpha \ln \frac{\tilde{\Delta}}{E - z_n} \ll 1$

Exponentially small energies :

$$|E| \sim 1/t \leq T_c \quad \alpha \ln \frac{\tilde{\Delta}}{E - z_n} \sim 1$$

neglect all other branching points

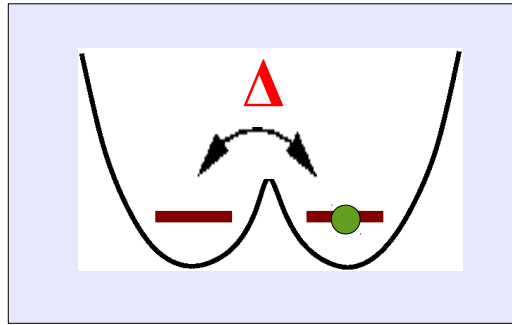
Ohmic spin boson model: weak damping

Kashuba & Schoeller,
PRB 87, 201402(R) (2013)

Phonon
reservoir

$T=0$

α



finite bias \rightarrow C. Lindner
Tu 15:45, TT45.8

$$H_{tot} = \sum_k \omega_k a_k^\dagger a_k - \frac{1}{2} \Delta \sigma_x + V$$

$$V = \frac{1}{2} \sigma_z \sum_k \alpha_k (a_k + a_k^\dagger)$$

$$\rho(\omega) = \sum_k \alpha_k^2 \delta(\omega - \omega_k) = 2\alpha \omega \theta(\omega) \quad \text{ohmic}$$

$$\rightarrow \boxed{\varepsilon = \mathbf{T} = \mathbf{0} \quad \alpha \ll 1}$$

Energy scales :

$$D \gg \tilde{\Delta} = \Omega \gg \Gamma^* \gg T_c$$

reservoir
band width

renormalized tunneling
= oscillation frequency

relaxation rate

exponentially small
energy scale

$$\tilde{\Delta} = \Delta \left(\frac{\Delta}{D} \right)^{\frac{\alpha}{1-\alpha}}$$

$$\Gamma^* = \pi \alpha \tilde{\Delta}$$

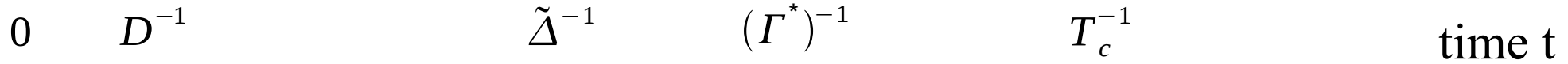
$$T_c \sim \tilde{\Delta} e^{-\frac{1}{\alpha}}$$

Effective spin dynamics :

$$\langle \sigma_{x,z} \rangle(t) = ?$$

$$\langle \sigma_y \rangle(0) = 0$$

$$D \gg \tilde{\Delta} = \Delta \left(\frac{\Delta}{D}\right)^{\frac{\alpha}{1-\alpha}} = \Omega \gg \Gamma^* = \pi \alpha \tilde{\Delta} \gg T_c \sim \tilde{\Delta} e^{-\frac{1}{\alpha}}$$



non-universal
perturbative
in α

short times

$$\text{sum up } (\alpha \ln(Dt))^k$$

long times

$$\text{sum up } (\alpha \ln(D/\Delta))^k$$

$$\rightarrow \tilde{\Delta} = \Delta \left(\frac{\Delta}{D}\right)^{\frac{\alpha}{1-\alpha}}$$

$$\text{expand in } \alpha \ln(\tilde{\Delta}t) \ll 1$$

exponentially large times

$$\alpha \ln(\tilde{\Delta}t) \sim O(1)$$

$$\text{sum up } (\alpha \ln(\tilde{\Delta}t))^k$$

$$\begin{aligned} \langle \sigma_z \rangle(t) &= \langle \sigma_z \rangle(0) \\ \langle \sigma_x \rangle(t) &= \left(\frac{1}{Dt}\right)^{2\alpha} \langle \sigma_x \rangle(0) \end{aligned}$$

$$\begin{aligned} s(t) &= (1 + \alpha \ln(\tilde{\Delta}t))^{-2} \\ &\cdot (1 - \ln(1 + \alpha \ln(\tilde{\Delta}t)))^{-2} \end{aligned}$$

$$\langle \sigma_z \rangle(t) = \cos(\tilde{\Delta}t) e^{-\frac{\Gamma^*}{2} t} \langle \sigma_z \rangle(0) - \frac{2\alpha}{(\tilde{\Delta}t)^2} e^{-\Gamma^* t} \langle \sigma_z \rangle(0)$$

$$\langle \sigma_x \rangle(t) = \frac{\tilde{\Delta}}{\Delta} - \frac{\tilde{\Delta}}{\Delta} e^{-\Gamma^* t} + \left(\frac{\tilde{\Delta}}{\Delta}\right)^2 e^{-\Gamma^* t} \langle \sigma_x \rangle(0) - 2\alpha \frac{s(t)}{(\Delta t)^2} \cos(\tilde{\Delta}t) e^{-\frac{\Gamma^*}{2} t} \langle \sigma_x \rangle(0)$$

stationary state

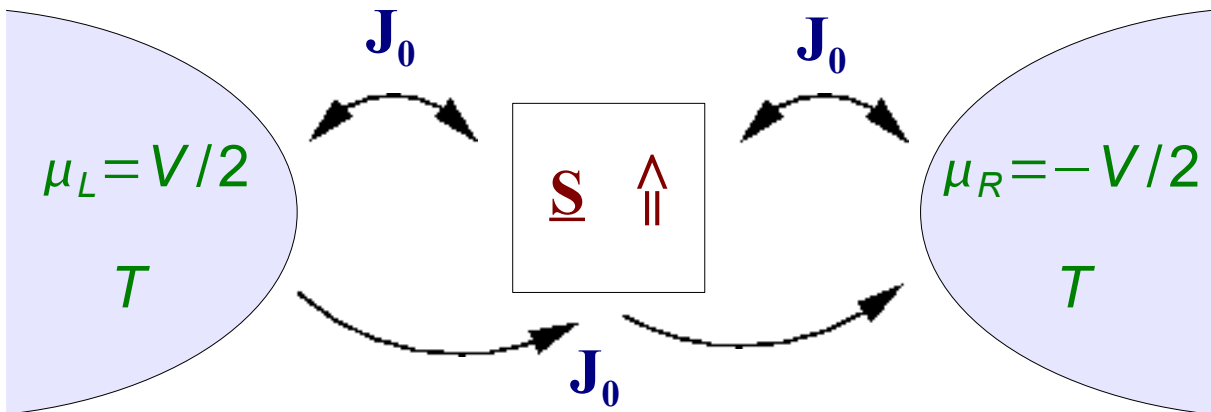
Nonequilibrium Kondo model

Schoeller & Reininghaus, PRB 80, 045117 (2009)

Pletyukhov, Schuricht & Schoeller, PRL 104, 106801 (2010)

Pletyukhov & Schoeller, PRL 108, 260601 (2012)

Reininghaus, Pletyukhov & Schoeller, PRB 90, 085121 (2014)



$$H_{\text{tot}} = H_{\text{res}} + V$$

$$H_{\text{res}} = \sum_{\alpha=L,R} \sum_{\sigma=\uparrow,\downarrow} \sum_k \epsilon_{\alpha\sigma k} a_{\alpha\sigma k}^\dagger a_{\alpha\sigma k}$$

$$V = \frac{J_0}{\rho_0} \underline{S} \cdot \underline{s}_{\text{res}} \quad J_0 \ll 1$$

spin 1/2, 1 channel, $\hbar=0$

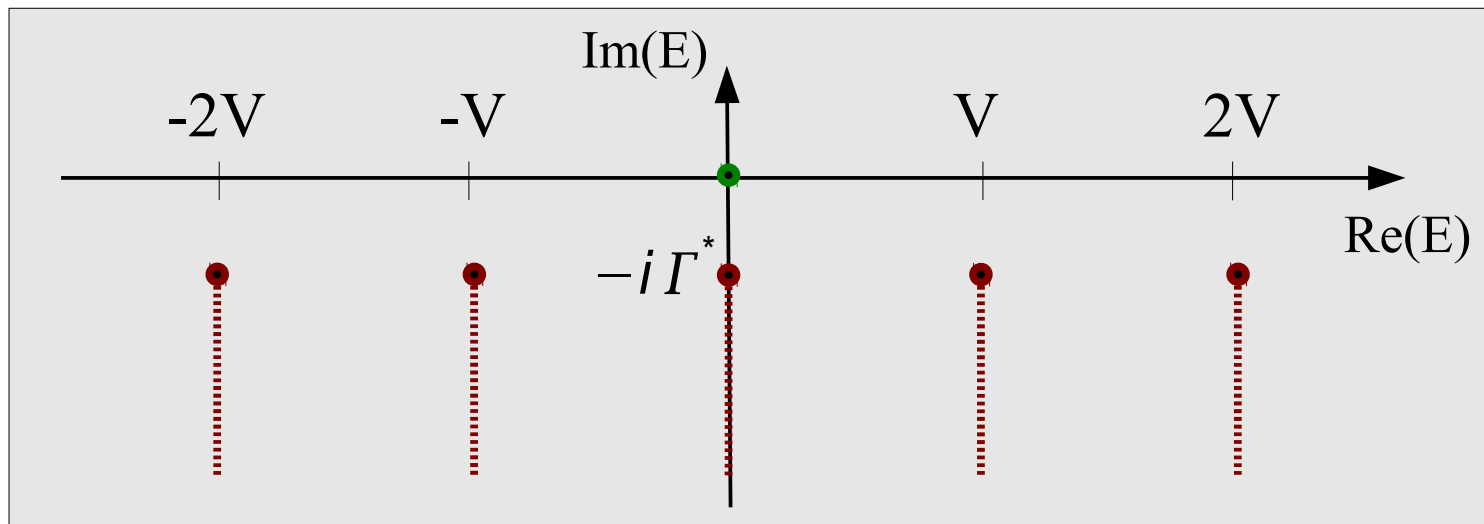
Strong coupling :

$$T, V \leq T_K = D e^{-1/(2J_0)}$$

stationary quantities

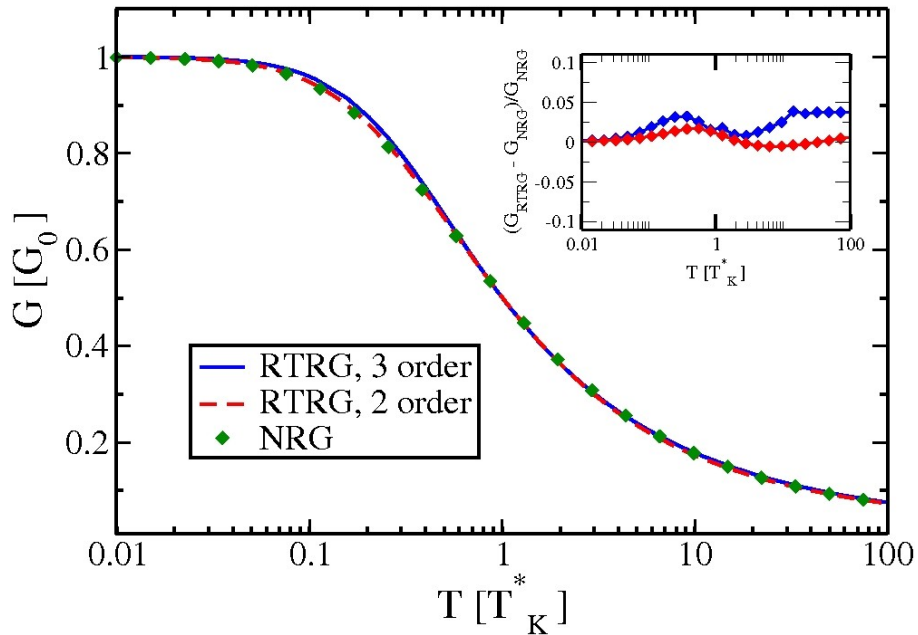
$$T, \frac{1}{t} \leq T_K = D e^{-1/(2J_0)}$$

time evolution



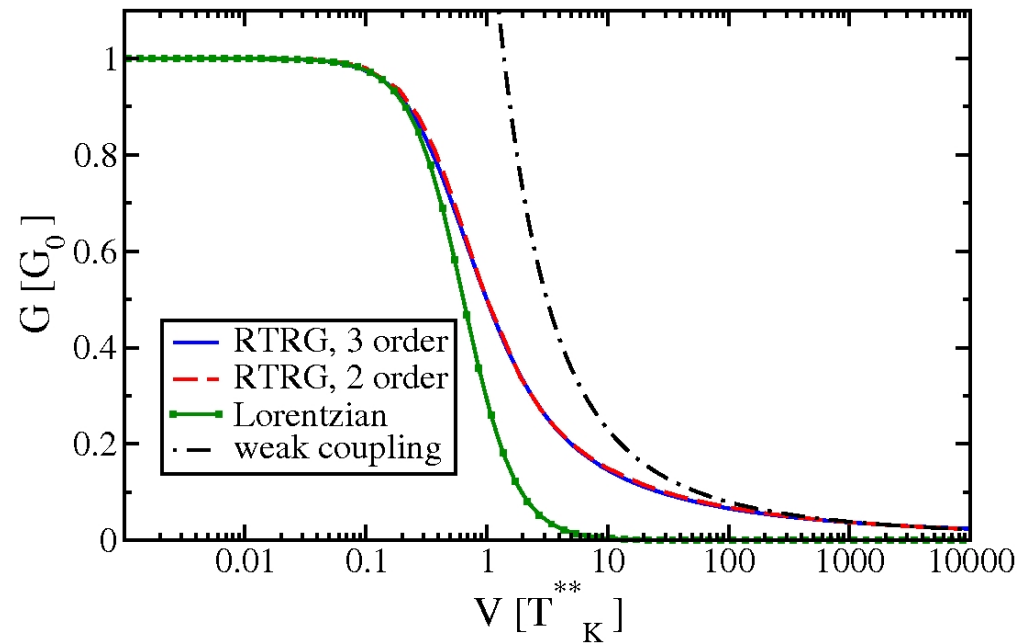
Stationary conductance $G(T,V)$:

$V=0$: $G(T)$



→ agreement with NRG

$T=0$: $G(V)$



→ approx. independent of truncation order

$$G(T=T_K^*) = \frac{1}{2} G_0$$

$$\frac{T_K^*}{T_K^{**}} \approx 0.62$$

$$G(V=T_K^{**}) = \frac{1}{2} G_0$$

Fermi liquid coefficients :

$$T, V \ll T_K^*$$

$$\frac{G(T, V)}{G_0} = 1 - c_T^* \left(\frac{T}{T_K^*} \right)^2 - c_V^* \left(\frac{V}{T_K^*} \right)^2$$

$$\frac{c_V^*}{c_T^*} = \frac{3}{2\pi^2}$$

NRG :

Merker et al., PRB 87, 165132 (2013)

$$c_T^* \approx 6.58 \quad \Rightarrow \quad c_V^* = 3/(2\pi^2) \quad c_T^* \approx 1.00$$

RTRG :

$$c_T^* \approx 4.88 \quad 33\% \text{ error}$$

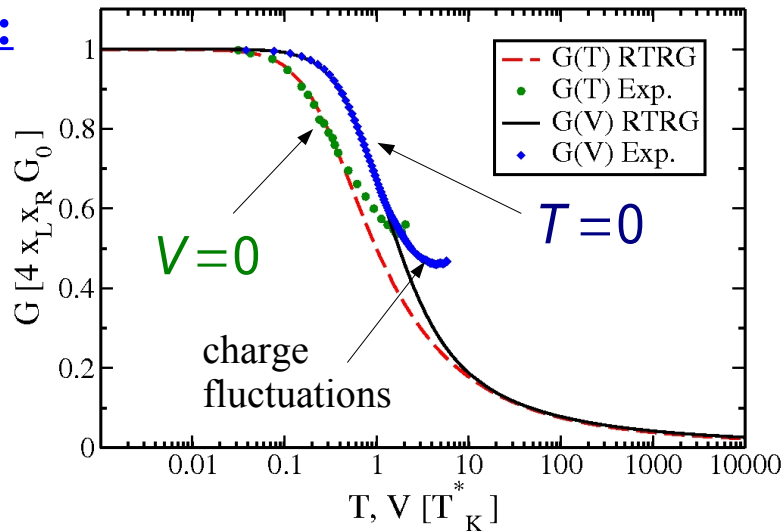
$$c_V^* \approx 1.02 \quad 2\% \text{ error}$$

Stationary conductance: Comparison with experiment

Kretinin, Shtrikman & Mahalu, PRB 85, 201301(R) (2012)

Klochan, Micolich, Hamilton, Reuter, Wieck, Reininghaus, Pletyukhov & Schoeller, PRB 87, 201104(R) (2013)

T=0 or V=0 :



$$G(T=T_K^*) = \frac{1}{2} G_0$$

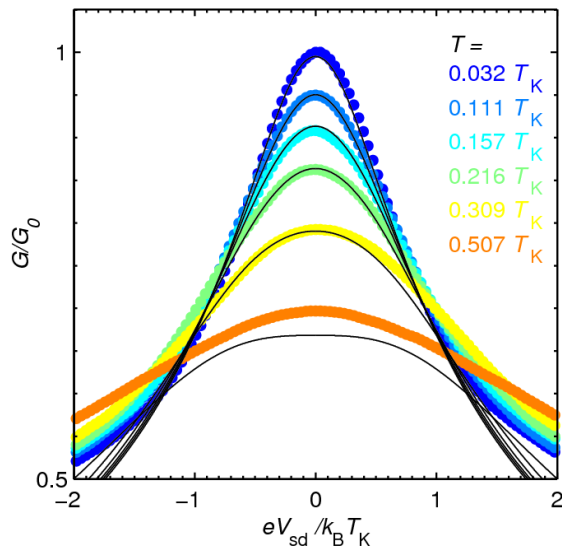
$$G(V=T_K^*) = \frac{2}{3} G_0$$

→ allows for an elegant exp. determination of T_K^*

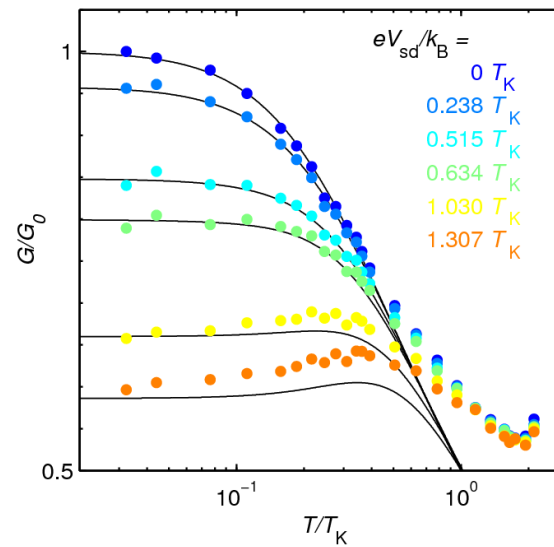
→ see also *Smirnov & Grifoni PRB 87, 121302(R) (2013)*

G(T,V) :

G(V) for different T



G(T) for different V

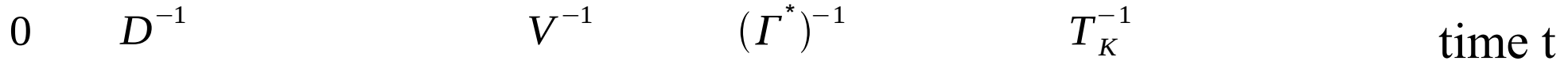


Spin dynamics at T=0 and large voltage

$$V \gg T_K$$

$$D \gg V \gg \Gamma^* = \pi J_V^2 V \gg T_K \sim V e^{-\frac{1}{2J_V}}$$

$$J_V = \frac{1}{2 \ln(V/T_K)}$$



non-universal
perturbative
in J_0

short times

sum up $(J_0 \ln(Dt))^k$

long times

expand in $J_V \ln(Vt) \ll 1$

exponentially large times

$$J_V \ln(Vt) \sim O(1)$$

$$J(E) \sim O(1)$$

for $|E + nV + i\Gamma^*| \sim T_K$

$$\langle \underline{S} \rangle(t) = \left(1 - \frac{1}{|\ln(T_K t)|}\right) \langle \underline{S} \rangle(0)$$

$$\langle \underline{S} \rangle(t) = ?$$

$$\begin{aligned} \langle \underline{S} \rangle(t) = & (1 - 2J_V - 2J_V^2 \ln(Vt)) e^{-\Gamma^* t} \langle \underline{S} \rangle(0) \\ & - 2J_V^2 \frac{1}{(Vt)^2} \cos(Vt) e^{-\Gamma^* t} \langle \underline{S} \rangle(0) \end{aligned}$$

strong coupling problem!!

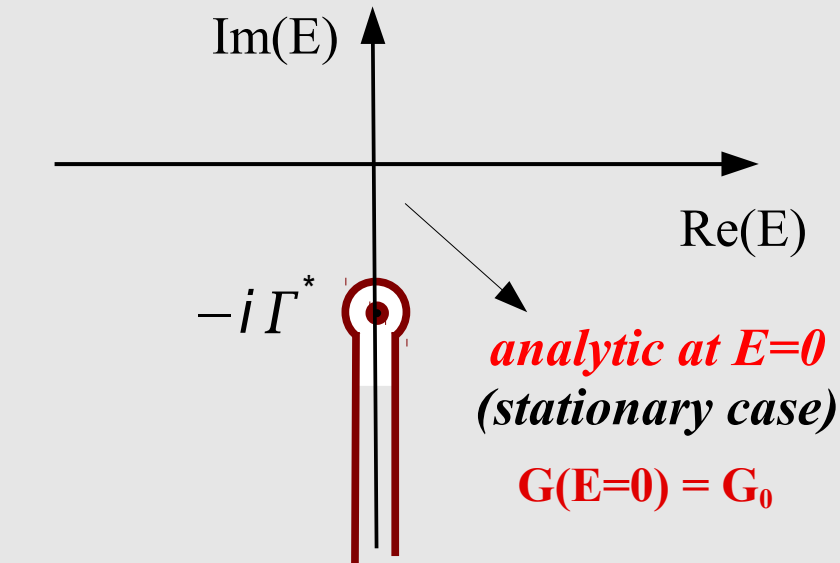
no cutoff by decay rates!!

Outlook: Spin dynamics in strong coupling

Long-time behaviour for $T=h=V=0$:

N channels

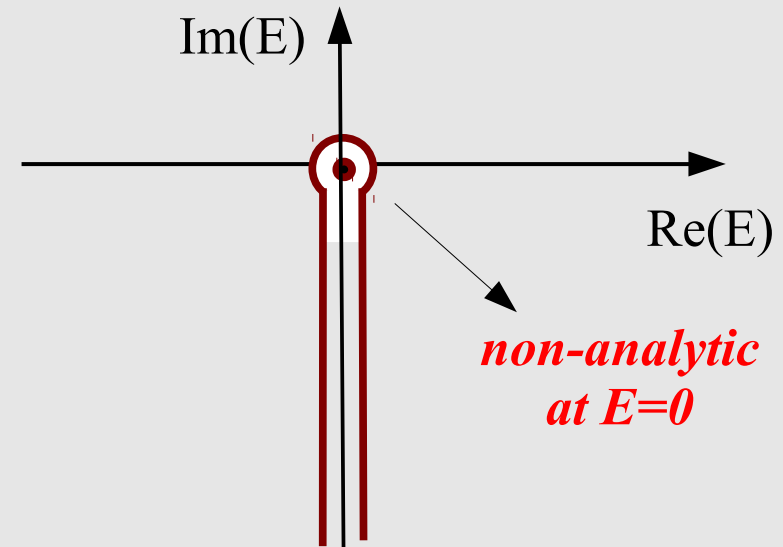
N=1: Fermi liquid $\Gamma^* \sim T_K > 0$



$$\langle \underline{S} \rangle(t) \sim (T_K t)^{-g} e^{-\Gamma^* t} \langle \underline{S} \rangle(0)$$

exponentiell + power law

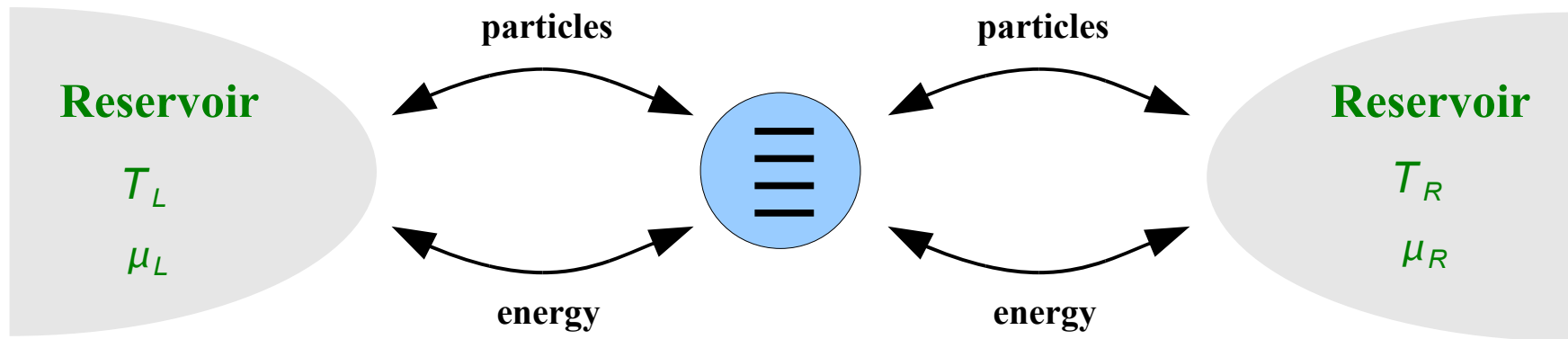
N>1: non-Fermi liquid $\Gamma^* = 0$



$$\langle \underline{S} \rangle(t) \sim (T_K t)^{-g} \langle \underline{S} \rangle(0)$$

pure power-law $N \gg 1: g = \frac{4}{N}$

Summary



Real-time formalism is a useful tool at zero temperature :

- perturbative in effective system-reservoir coupling (fRG \rightarrow perturbative in local Coulomb interaction)
- $1/E$ - divergencies \rightarrow self-consistent perturbation theory
- logarithmic divergencies \rightarrow renormalization group
- weak coupling \rightarrow analytical solution possible
- strong coupling (e.g. Kondo) \rightarrow useful but still open questions